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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of)

Joseph A. GRUNDFEST et al.)

Application No.: 09/718,097)

Filed: March 19, 2001)

For: SYSTEM AND METHOD FOR)
FACILITATING TRANSACTION)
PROCESSING AND DISPOSITION)
WITHIN AN ACCESS)
CONTROLLED ENVIRONMENT)
VIA A GLOBAL NETWORK SUCH)
AS THE INTERNET)

Group Art Unit: 2681

Examiner: To Be Determined

**TRANSMITTAL LETTER FOR NOTICE TO FILE MISSING PARTS FOR A
NONPROVISIONAL APPLICATION**

BOX: MISSING PART

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In complete response to the Notice To File Missing Parts of Nonprovisional
Application dated June 13, 2001, enclosed please find:

- [X] Total additional claim fees for this application \$1,624.00;
- [X] Combined Declaration and Power of Attorney;
- [X] Late Filing Fee for Declaration \$65.00;
- [X] an executed Declaration Claiming Small Entity Status;
- [X] a Petition for Extension of Time (4 months) \$720.00;
- [] a verified English translation of the Application, and the \$130.00 fee as set forth
in 37 C.F.R. § 1.17(k);
- [] an Assignment document and the \$40.00 Assignment Recording Fee;
- [] other: Submission of Revised Drawings;
- [X] a check in the amount of \$2,409.00 for the fee due;

Transmittal Letter for Missing Parts of Application
Application No. 09/718,097
Attorney's Docket No. 064.001
Page 2

The Commissioner is hereby authorized to contact the undersigned if additional fees are deemed due or overpayment is made. This paper is submitted in triplicate.

Respectfully submitted,

ERIK B. CHERDAK & ASSOCIATES, LLC

By: 

Erik B. Cherdak
Registration No. 39,936

11300 Rockville Pike, Suite 906
Rockville, Maryland 20852
(301) 984-4700

Date: December 13, 2001



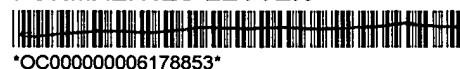
UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/718,097 | 11/22/2000 | Joseph A. Grundfest | 064.0001 |

CONFIRMATION NO. 9708

FORMALITIES LETTER



OC000000006178853

Erik B. Cherdak & Associates, LLC
Suite 906
11300 Rockville Pike
Rockville, MD 20852

Date Mailed: 06/13/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$2408.
 - \$2088 for 116 total claims over 20.
 - \$320 for 4 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 3248.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

12/17/2001 HBERHE 00000043 09713057

01 FC:210
02 FC:201
03 FC:205
04 FC:203
05 FC:202

720.00 23
370.00 05
65.00 05
1044.00 05
200.00 05

PART 2 - COPY TO BE RETURNED WITH RESPONSE